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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/780,637	02/19/2004	Kosei Takiishi	249104US8	1879
22850	7590	06/16/2006	EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			ALHIJA, SAIF A	
			ART UNIT	PAPER NUMBER
			2128	

DATE MAILED: 06/16/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/780,637

Applicant(s)

TAKIISHI ET AL.

Examiner

Saif A. Alhija

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 February 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date: _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>5/6/04</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-8 have been presented for examination.

PRIORITY

2. Acknowledgment is made of applicant's claim for foreign priority under 35 U.S.C. 119(a)-(d).

Information Disclosure Statement

3. The information disclosure statement (IDS) submitted on **6 May 2004** is in compliance with the provisions of 37 CFR 1.97. Accordingly, the Examiner has considered the IDS as to the merits.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. **Claims 1-8 are rejected** under 35 U.S.C. 102(b) as being clearly anticipated by **Coulson et al.** “**A Statistical Basis for Lognormal Shadowing Effects in Multipath Fading Channels**”, hereafter referred to as **Coulson**.

Regarding Claim 1:

Coulson discloses A time-varying multi-path generating apparatus for simulating multi-path fluctuations in radio communications, comprising:

a parameter control unit for controlling a plurality of conditions for generating a plurality of propagation paths, the conditions being parameters and data files, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

a data storage unit for storing the parameters and data files for generating the propagation paths, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a random number generating unit for generating and outputting a plurality of random numbers based on a random number parameter provided by the parameter control unit, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

and a propagation path generating unit for generating a plurality of time-varying propagation paths, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

wherein a plurality of time-varying amplitude functions and a plurality of time-varying phase functions are generated based on the parameters and data files for propagation path generation stored in the data storage unit, and the random numbers generated by the random number generating unit, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

the time-varying amplitude functions are aligned serially in the time domain such that a time-varying shadow amplitude function is obtained, which is repeated N times, where N represents the number of the propagation paths, resulting in N time-varying shadow amplitude functions, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

the time-varying phase functions are aligned serially in the time domain such that a time-varying shadow phase function is obtained, which is repeated N times, where N represents the number of the propagation paths, resulting in N time-varying shadow phase functions, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

an initial amplitude, an initial phase, an initial time delay, and an initial arrival direction are generated as the propagation path parameters of a propagation path using random numbers provided by

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the random number generating unit based on the initial value generation parameters stored in the data storage unit, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

and the time-varying shadow amplitude functions and the time-varying shadow phase functions are superimposed on the initial amplitude and the initial phase, respectively, for generating a plurality of time-varying propagation paths. **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

Regarding Claim 2:

Coulson discloses A time-varying multi-path generating apparatus for simulating multi-path fluctuations in radio communications, comprising:

a parameter control unit for controlling a plurality of conditions for generating a plurality of propagation paths, the conditions being parameters and data files, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a data storage unit for storing the parameters and data files for generating the propagation paths, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a random number generating unit for generating and outputting a plurality of random numbers based on a random number parameter provided by the parameter control unit, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a time-varying function generating unit for generating and outputting a plurality of time-varying amplitude functions and time-varying phase functions, serving as shadow characteristics of the propagation paths based on a shadow parameter stored in the data storage unit and the random numbers

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provided by the random number generating unit, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

and a propagation path generating unit for generating a plurality of time-varying propagation paths, wherein (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

the time-varying amplitude functions and the time-varying phase functions generated and provided by the time-varying function generating unit are aligned serially in the time domain such that a time-varying shadow amplitude function and a time-varying shadow phase function, respectively, are obtained, which obtaining is repeated N times, where N represents the number of the propagation paths, resulting in N time-varying shadow amplitude functions and N time-varying shadow phase functions, respectively, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501.**

Section III (H) and Conclusion)

an initial amplitude, an initial phase, an initial time delay, and an initial arrival direction are generated as the propagation path parameters of a propagation path using the random numbers provided by the random number generating unit based on initial value generation parameters stored in the data storage unit, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

and the time-varying shadow amplitude function and the time-varying shadow phase function are superimposed on the initial amplitude and the initial phase, respectively, for generating a plurality of time-varying propagation paths. (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

Regarding Claim 3:

Coulson discloses The time-varying multi-path generating apparatus as claimed in claim 1, wherein the propagation path generating unit generates the time-varying amplitude functions and the time-varying phase functions using the random numbers generated by the random number generating unit; the random numbers have correlations corresponding to inter-parameter correlation characteristics related to an arriving propagation path angle difference that is stored in the data storage unit for each of the propagation paths; and a shadow time interval, a shadow amplitude, and a shadow occurrence time interval are generated as correlated random numbers that are used as constant parameters of a time-varying function. (Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)

Regarding Claim 4:

Coulson discloses The time-varying multi-path generating apparatus as claimed in claim 1, wherein the propagation path generating unit adds a time-varying phase rotation to the time-varying phase characteristic of each time-varying propagation path by calculating the time-varying rotation due to the Doppler effect based on a moving speed of a mobile station, the moving speed being provided by the parameter control unit, and the initial arriving direction of each propagation path. (Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)

Regarding Claim 5:

Coulson discloses The time-varying multi-path generating apparatus as claimed in claim 1, wherein: the data storage unit stores directional-gain pattern files of a plurality of antennas applicable to a mobile station, the directional-gain pattern files being provided by the parameter control unit, and containing information about directional gain, and the propagation path generating unit reads at least one of the directional-gain pattern files according to moving directions of the mobile station, calculates N

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propagation paths by multiplying the directional gain to the initial amplitude of each propagation path to obtain N time-varying propagation paths, which is repeated M times, M being the number of the antennas, and NxM time-varying propagations propagation paths are generated. **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

Regarding Claim 6:

Coulson discloses A multi-path fading simulator, comprising:

the time-varying multi-path generating apparatus for generating N propagation paths for each of M antennas, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a propagation path output unit for dividing M.times.N complex amplitudes of the time-varying propagation paths provided by the time-varying multi-path generating apparatus into real parts and imaginary parts, and outputting the real parts and the imaginary parts in an analog form, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

and a signal synthesizing unit, comprising: **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

at least one digital signal input terminal, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

at least one digital signal output terminal, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

an orthogonal signal generating unit for generating a plurality of orthogonal input signals (Q component) based on a plurality of digital signals (I component) input through the digital signal-input terminal, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

2.times.M transversal circuits, each comprising (N-1) delay elements that are cascaded, and N multipliers, wherein **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

each of the I component and the Q component is distributed to specific transversal circuits, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

each of the N multipliers is provided with the respective real part, or the respective imaginary part, as applicable, output by the propagation path output unit, the real part or the imaginary part, as applicable, having an initial time delay, and the time delay of each multiplier is set equal to the time delay of the real part or the imaginary part, as applicable, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

and each digital signal delayed by 0, one or more delay elements, as applicable, and the propagation path fluctuation that is represented by the real part or the imaginary part, as applicable, are multiplied, and **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

M signal synthesizing units for adding the multiplication results of every delay time such that the I component and the Q component are obtained, for combining the I component and the Q component such that a digital signal is generated for each of M antennas, and for outputting the digital signal to the digital signal output terminal. **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

Regarding Claim 7:

Coulson discloses A time-varying multi-path generating method for simulating multi-path fluctuations in radio communications, comprising:

a step wherein a plurality of propagation path generation parameters and data files of propagation paths to be generated are stored in a data storage unit, the data files comprising propagation path generation parameter files, antenna directional gain pattern files, and time-varying function constant parameter generation condition files, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the parameter control unit reads the propagation path generation parameter files from the data storage unit **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the parameter control unit reads data files about M antennas, such as the antenna directional gain pattern files, from the data storage unit, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the random number generating unit generates random numbers that fulfill propagation path parameter initial value generation conditions of a propagation path to be generated, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the propagation path generating unit sets up initial conditions, such as initial amplitude values of N propagation path parameters based on the random numbers, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the N initial amplitude values and antenna directional gains to a direction of an incoming propagation path obtained from the antenna directional gain pattern file are multiplied to obtain N.times.M propagation path parameters, which parameters are set up, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the parameter control unit reads the time-varying function constant parameter generation condition files from the data storage unit, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

a step wherein the propagation path generating unit generates time-varying function constant parameters based on random numbers generated by the random number generating unit, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

a step wherein the propagation path generating unit generates time-varying shadow amplitude characteristics and time-varying shadow phase characteristics of N propagation paths based on the time-varying function constant parameters, and generates shadow fluctuation characteristics of the amplitude and the phase of each of the N propagation paths, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

and a step wherein time-varying multi-paths are generated based on the generated shadow fluctuation characteristics. (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

Regarding Claim 8:

Coulson discloses A time-varying multi-path generating method for simulating multi-path fluctuations in radio communications, comprising:

a step wherein a plurality of propagation path generation parameters and data files of propagation paths to be generated are stored in a data storage unit, the data files comprising propagation path generation parameter files, antenna directional gain pattern files, and time-varying function constant parameter generation condition files, (**Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion**)

a step wherein the parameter control unit reads the propagation path generation parameter files from the data storage unit, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the parameter control unit reads data files about M antennas, such as the antenna directional gain pattern files, from the data storage unit, a step wherein the random number generating unit generates random numbers that fulfill propagation path parameter initial value generation conditions of a propagation path to be generated, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein a propagation path generating unit sets up initial conditions, such as initial amplitude values of N propagation path parameters based on the random numbers, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the N initial amplitude values and antenna directional gains corresponding to a direction of an incoming propagation path obtained from the antenna directional gain pattern file are multiplied to obtain N.times.M propagation path parameters, which parameters are set up, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the parameter control unit specifies a calculation model based on the propagation path generation parameter files, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the random number generating unit generates random numbers corresponding to shadow parameters, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein the time-varying function generating unit generates N time-varying functions for the specified calculation model based on the random numbers, **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

and a step wherein, time-varying shadow amplitude characteristics and time-varying shadow phase characteristics are generated for N propagation paths based on the generated time-varying functions generated by the propagation path generating unit, which amplitude characteristics and phase characteristics are multiplied by the amplitude and the phase, respectively, of each propagation path such that shadow fluctuation characteristics are generated, and **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

a step wherein time-varying multi-paths are generated based on the generated shadow fluctuation characteristics. **(Introduction. Page 495-496, Section II. Page 497, Section III (C). Page 500-501. Section III (H) and Conclusion)**

Conclusion

5. The prior art made of record is not relied upon because it is cumulative to the applied rejection.

These references include:

a) **“A Statistical Wideband Propagation Model for Smart Antenna Systems”,
Mahmoudi et al. 1998 IEEE.**

6. All Claims are rejected.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Saif A. Alhija whose telephone number is (571) 272-8635. The examiner can normally be reached on M-F, 11:00-7:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kamini Shah can be reached on (571) 272-2279. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SAA

October 11, 2005

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PRIMARY PATENT EXAMINER
TECHNOLOGY CENTER 2100